

*National Institute of Allergy and Infectious  
Diseases (NIAID), NIH*

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*NIH/NIAID – Nordic Regional Research  
Networking Meeting*

**Guidelines To New Investigator And  
Pre-Application Preparation**

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# Overview

- What new investigators should know
  - NIH definition of “new” investigator
  - Program and ICs foster new investigators
  - What NIH looks for in the application
  - Eligibility for foreign investigators
- Knowing NIH staff and their roles
- Developing a strategy toward successful application
- Writing and submitting an application

# A “New” Investigator

- who has never been a PI on a PHS-supported research project other than a small grant (R03), Academic Research Enhancement Award (R15), exploratory/developmental grant (R21), or K series career awards except K02 and K04.

# Programs Fostering New Investigators

- Pathway to independence award  
<http://grants.nih.gov/grants/guide/pa-files/PA-06-133.html#SectionI>
- New investigators program  
[http://grants1.nih.gov/grants/new\\_investigators/institute\\_center\\_practices.htm](http://grants1.nih.gov/grants/new_investigators/institute_center_practices.htm)

# Examples Of Current NIH Institute And Center Practices To Foster New Investigator Independence

- **Fogarty International Center (FIC)**

FIC offers the Global Health Research Initiative Program for New Foreign Investigators (GRIP) that concentrates on establishing research capacity for new investigators in their home countries.

- **National Institute of Allergy and Infectious Diseases (NIAID)**

NIAID encourages new investigators to self-identify and expects less preliminary data from new investigator applications. Further, new investigator status can help individuals qualify to be funded through selective pay or via an R56-Bridge award. In addition, NIAID also provides tools for new investigators such as the NIAID Advice for New Investigators website:

[http://www.niaid.nih.gov/ncn/grants/plan/plan\\_i1.htm](http://www.niaid.nih.gov/ncn/grants/plan/plan_i1.htm)

# Supports To A “New” Investigator

- Reviewers look for potential- not expect a long track record of publications and research or advanced job standing. But they will look closely at academic and research background.
- Develop mechanisms
- Selective pay

# What NIH Looks For In The Application

- Innovative
- Best and unique scientific research to be appeared in written proposal
- Means to accomplish the work including the expertise, resources, equipment, personnel, time
- Compliance with NIH's requirements

# Foreign Investigators And Funding Opportunities

- Talents, innovative, unique expertise or resources
- Eligible funding and mechanisms
- Prime funding
- Collaboration



# Closer Looks To PAs, RFAs, RFPs

- PA <http://grants.nih.gov/grants/guide/pa-files/PA-06-109.html>
- RFA <http://grants.nih.gov/grants/guide/rfa-files/RFA-AI-06-006.html>  
<http://grants.nih.gov/grants/guide/rfa-files/RFA-AI-06-009.html>
- RFP <http://www.niaid.nih.gov/contract/rfps.htm>

# NIH Staff And Their Roles

- SRA - Scientific review administrator
  - Responsible for peer review of your application
- Program Official - Scientist (Ph.D. or MD)
  - Responsible for directing and evaluating research program
- GMO - Grant management Officer
  - Responsible for the overall administration of your grant
- GM Specialist - Grant management specialist
  - Agent of the GMO
  - Actually administer your grant
  - Work closely with program official

# Strategy Development Toward Successful Application

- Stay abreast to funding related information
  - Subscribe to Federal Grant Notification Service  
<http://grants.gov/search/subscribeAll.do>
  - Subscribe to NIH Guide LISTSERV  
<http://grants.nih.gov/grants/guide/listserv.htm>
  - Subscribe to NIAID funding news and email alerts  
[http://www.niaid.nih.gov/ncn/newsletters/default\\_subscribe.htm](http://www.niaid.nih.gov/ncn/newsletters/default_subscribe.htm)
  - Routinely check for RFPs and amendments at FedBizOpps  
<http://www.fedbizopps.gov/>

# Strategy Development Toward Successful Application (Cont'd)

- Check what other projects in your field being funded in CRISP database  
<http://crisp.cit.nih.gov/>
- Know grant funding timeline  
[http://www.niaid.nih.gov/ncn/grants/charts/timeline\\_funding.htm](http://www.niaid.nih.gov/ncn/grants/charts/timeline_funding.htm)
- Develop a novel idea/brainstorm ideas with colleagues and mentors
- Develop solid hypothesis

# Strategy Development Toward Successful Application (Cont'd)

- Know the eligible funding and features of mechanisms you are going to apply or see if your idea matches the funding institute initiatives
- Talk with the program officers
- Find well established investigator to be a collaborator
- Determine your strength and weakness
- Show your potential on your application
- Give yourself plenty of time to write application

# Show Your Potential On The Application

- Available resources
- Institutional support
- Independence (e.g. 1<sup>st</sup> or last author on publications)
- Leadership
- If known, identify the strengths and weaknesses of proposed research methods
- Collaborate - expertise and resources that enhance your research plan (e.g. mentor or collaborator who is well known).

# Advice About Collaboration

- Where to find collaborators
  - Scientific meetings
  - University seminars
  - Publications
- How to initiate the collaboration
  - Direct contact – conversation
  - Write a letter
  - Networking
- Collaboration related issues – to avoid conflicts
  - Authorships
  - Responsibilities
  - Intellectual property

# Helpful Resources

- NIH grants and funding opportunities  
<http://grants1.nih.gov/grants/>
- Information about extramural research  
<http://grants1.nih.gov/grants/oer.htm>
- Information about all NIH Institutes and Centers  
<http://www.nih.gov/icd/>
- NIAID Key Contacts  
<http://www3.niaid.nih.gov/about/findingpeople/>
- All about grants tutorials  
<http://www.niaid.nih.gov/ncn/grants/default.htm>
- NIAID, International grants and contracts  
<http://www.niaid.nih.gov/ncn/grants/int/default.htm>
- Grant funding decision flow chart  
[http://www.niaid.nih.gov/ncn/grants/charts/flowchart\\_funding.htm](http://www.niaid.nih.gov/ncn/grants/charts/flowchart_funding.htm)



# Make It Happen




## Submitting the application

[http://www.niaid.nih.gov/ncn/grants/write/write\\_u1.htm](http://www.niaid.nih.gov/ncn/grants/write/write_u1.htm)

## Writing an application

<http://www.niaid.nih.gov/ncn/grants/write/index.htm>

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